REMARKS

By this Amendment, claims 1, 9 and 12 are amended; claims 4 and 11 are canceled; and new claims 17-23 are added. Thus, claims 1-3, 5-10 and 12-23 are pending in the application. Claims 1, 9 and 17 are the only independent claims. A Supplemental IDS on Form 1449 accompanies this Amendment. A Declaration is submitted herewith under 37 CFR §1.131. It is submitted that no new matter has been added to this application by way of this Amendment.

Remarks Regarding Priority

It is asserted that Applicant has not complied with conditions for receiving the benefit of an earlier filing date under 35 U.S.C. §119(e) because the application does not contain a specific reference to the prior applications in the first sentence of the specification. The specification is hereby amended to comply with the requirement in accordance with 37 CFR §1.78(a)(2) and therefore Applicant requests acknowledgement of its entitlement to receive the benefit of an earlier filing date under 35 U.S.C. §119(e).

It is further asserted that the inventions described in the provisional application Serial Nos. 60/138,173 and 60/144,314 are "significantly narrower than the instant invention" and that "consequently, the claimed invention does not meet the written description requirement for obtaining benefit of priority."

According to the MPEP, a 'broad, genus' claim is supported by 'narrower, species' examples:

For a claimed genus, representative examples together with a statement applicable to the genus as a whole will ordinarily be sufficient if one skilled in the art (in view of level of skill, state of the art and the information in the specification) would expect the claimed genus could be used in that manner without undue experimentation. MPEP 2164.02

Reply to Office Action dated April 3, 2003

In the instant case, representative examples of specific vectors are detailed in the body of each of two provisional applications, Serial Nos. 60/138,173 and 60/144,314, along with a statement applicable to the genus as a whole, present at least in claim 1 of each provisional application.

Applicant submits that the claims of the present application are supported by the provisional applications Serial Nos. 60/138,173 and 60/144,314, and is entitled to obtain benefit of the priority date of both referenced provisional applications. Applicant therefore requests acknowledgement of its entitlement to receive the benefit of an earlier filing date under 35 U.S.C. §119(e).

Remarks Regarding Specification

An abstract of the disclosure is required on a separate sheet under 37 CFR §1.52(b)(4). Accordingly, a new abstract is submitted in compliance with these requirements.

Remarks Regarding Drawings

New formal drawings were required. Applicant submits corrected drawings in reply to the April 3, 2003 Office Action in order to "avoid abandonment of the application" by filing in a separate letter to the draftsperson as required by the Office Action and in compliance with MPEP 608.02(r) cited by the Examiner.

Remarks Directed Toward Claim Rejections

Rejection Under 35 U.S.C. §102(e) as Anticipated by Wechsler et al.

Claims 1-5, 9-11 and 13-15 were held to lack novelty under 35 U.S.C. §102(e) as being anticipated by Wechsler et al., US 2002/0098170.

Wechsler et al. is cited as teaching a "composition comprising substantially aneurovirulent replication-competent HSV-1 whose genome comprises deletion of both

copies of the γ34.5 gene and LAT coding sequence and a nucleic acid sequence encoding IL-12 or GM-CSF operably linked to a LAT promoter." (Paper No. 7, page 4)

Firstly, it is noted that Wechsler et al. is not cited as describing a composition including a nucleic acid sequence encoding cytosine deaminase as taught by Applicant, and Applicant finds no apparent teaching of this composition in Wechsler et al. Accordingly, new independent claim 17, and claims 18-23 depending therefrom, which include this limitation are submitted herewith.

Next, Applicant notes that a rejection based on 35 U.S.C. §102(e) can be overcome by: ...(F) Perfecting priority under 35 U.S.C. §119(e) or 120 by amending the specification of the application to contain a specific reference to a prior application or by filing an application data sheet under 37 CFR §1.76 which contains a specific reference to a prior application in accordance with 37 CFR §1.78(a). [MPEP 8th Ed., 706.02(b)]

The current specification has been amended to include a reference to related PCT and provisional applications in accordance with 37 CFR §1.78(a) as required. The referenced PCT application permits Applicant to claim benefit of priority as of June 8, 2000. Further, the referenced provisional applications are submitted to allow Applicant to claim benefit of priority dates of June 8, 1999 and July 16, 1999.

In conjunction with the above established priority dates, Applicant notes that a rejection based on 102(e) can be overcome by "(D) Filing an affidavit or declaration under 37 CFR §1.131 showing prior invention, if the reference is not a U.S. patent (or application in the case of a provisional rejection) claiming the same patentable invention...." [MPEP 706.02(b)] Applicant therefore submits herewith a declaration under 37 CFR §1.131. The declaration shows evidence of Applicant's invention of vectors described in the independent claims rejected under §102(e) as cited above, prior to the priority date claimed by Wechsler et

al., i.e. April 26, 1999. Specifically, notarized copies of dated lab notebook pages showing an IL-12 expressing HSV vector as described in independent claims 1 and 9 was in Applicant's hands at least as of August 21, 1998. Further, notarized copies of dated lab notebook pages are submitted to show a GM-CSF expressing HSV vector as described in independent claims 1 and 9 at least as of March 24, 1999. Applicant submits that evidence presented in the declaration is evidence of prior invention as compared to the priority date claimed by the Wechsler et al. application. Applicant reserves the right to submit further factual evidence showing earlier dates relating to the present inventions.

In view of these arguments and the evidence presented, it is submitted that claims 1-5, 9-11 and 13-15 are not anticipated by Wechsler et al., US 2002/0098170 under 35 U.S.C. §102(e). Applicant respectfully requests withdrawal of the rejection.

Rejection Under 35 U.S.C. §102 (a), (b) & (e) as Anticipated by DeLuca

Claims 9, 10 and 15 were held to lack novelty under 35 U.S.C. §102(a), (b) & (e) as being anticipated by DeLuca, US Patent No. 5,804,413.

A rejection based on 35 U.S.C. §102 (b) can be overcome by: Perfecting priority under 35 U.S.C. §119(e) or 120 by amending the specification of the application to contain a specific reference to a prior application or by filing an application data sheet under 37 CFR §1.76 which contains a specific reference to a prior application in accordance with 37 CFR 1.78(a). [MPEP 8th Ed., 706.02(b)]

The current specification has been amended to include a reference to PCT and provisional applications in accordance with 37 CFR §1.78(a) as required. The referenced provisional applications are submitted to allow Applicant to claim benefit of priority dates of June 8, 1999 and July 16, 1999. Further, the referenced PCT application permits Applicant to claim benefit of priority as of June 8, 2000. In contrast, DeLuca was filed on 5/22/96 and

issued 9/8/98. In order to reject the instant application under 35 U.S.C. §102(b) the instant invention must have been "patented or described in a printed publication ... more than one year prior to the date of the application for patent in the United States." [35 U.S.C. §102(b)] Since DeLuca was not patented or published before the priority date established for the instant invention, it is submitted that claims 9, 10 and 15 are not anticipated by DeLuca under 35 U.S.C. §102(b). Applicant respectfully requests withdrawal of the rejection.

Further, even if DeLuca were patented or published before the priority date established for the instant invention, Applicant submits that DeLuca is not believed to anticipate the present invention under any of 35 U.S.C. §102(a), (b) or (e). In order for the cited reference to have anticipated Applicant's invention 35 U.S.C. §102(a), (b) or (e), the reference must teach every element of the claim. (MPEP, 8th Ed., 2131)

DeLuca is cited as disclosing a "substantially aneurovirulent HSV comprising an expression construct encoding cytosine deaminase." (April 3, 2003 Office Action, p.5)

Independent claim 9 has been amended to define over the prior art. In contrast to the present invention, DeLuca does not appear to teach a replication competent HSV vector.

On the basis of these arguments and the amendments, it is submitted that claims 9, 10 and 15 are not anticipated under 35 U.S.C. §102(a), (b) or (e) by DeLuca. Thus, it is respectfully requested that the rejection of claims 9, 10 and 15 as anticipated by DeLuca be withdrawn.

Rejection under 35 U.S.C. §102(e) as Anticipated by Boursnell et al.

Claims 1, 2, 4, 9, 11 and 15 were held to lack novelty under 35 U.S.C. §102(e) as being anticipated by Boursnell et al., US Patent No. 6,287,557.

In order for the cited reference to have anticipated Applicant's invention, the reference must teach every element of the claim. (MPEP, 8th Ed., 2131)

Boursnell et al. is cited as teaching an HSV in which "[I]nasmuch as loss of the gH gene...in the HSV results in the production of non-infectious particles, the virus is replication competent." (April 3, 2003 Office Action, p.5)

Independent claims 1 and 9 have been amended to define over the prior art. In contrast to the present invention, Boursnell et al. does not appear to teach a replication competent HSV vector competent to produce infectious particles.

On the basis of these arguments and the amendment, it is submitted that claims 1, 2, 4, 9, 11 and 15 are not anticipated under 35 U.S.C. §102(e) by Boursnell et al. Thus, it is respectfully requested that the rejection of claims 1, 2, 4, 9, 11 and 15 as anticipated by Boursnell et al. be withdrawn.

Rejection under 35 U.S.C. §102(b) as Anticipated by Inglis et al.

Claims 1, 2, 4, 9, 11 and 15 were held to lack novelty under 35 U.S.C. §102(b) as being anticipated by Inglis et al., WO 96/26267

In order for the cited reference to have anticipated Applicant's invention, the reference must teach every element of the claim. (MPEP, 8th Ed., 2131)

Inglis et al. is cited as teaching an HSV in which "[I]nasmuch as loss of the gH gene...in the HSV results in the production of non-infectious particles, the virus is replication competent." (April 3, 2003 Office Action, p.5)

Independent claims 1 and 9 have been amended to define over the prior art. In contrast to the present invention, Inglis et al. does not appear to teach a replication competent HSV vector competent to produce infectious particles.

On the basis of these arguments and the amendment, it is submitted that claims 1, 2, 4, 9, 11 and 15 are not anticipated under 35 U.S.C. §102(b) by Inglis et al. Thus, it is

respectfully requested that the rejection of claims 1, 2, 4, 9, 11 and 15 as anticipated by Inglis et al. be withdrawn.

Rejection under 35 U.S.C. §102(a) as Anticipated by Todryk et al.

Claims 1, 2, 4, 9, 11 and 15 were held to lack novelty under 35 U.S.C. §102(a) as being anticipated by Todryk et al., Hum. Gene Ther. 10(17):2757-2768, 20 Nov. 1999.

A rejection based on 35 U.S.C. §102(a) can be overcome by: ...(F) Perfecting priority under 35 U.S.C. §119(e) or 120 by amending the specification of the application to contain a specific reference to a prior application or by filing an application data sheet under 37 CFR §1.76 which contains a specific reference to a prior application in accordance with 37 CFR §1.78(a). [MPEP 8th Ed., 706.02(b)]

The current specification has been amended to include a reference to PCT and provisional applications in accordance with 37 CFR §1.78(a) as required. The referenced provisional applications are submitted to allow Applicant to claim benefit of priority dates of June 8, 1999 and July 16, 1999. Further, the referenced PCT application permits Applicant to claim benefit of priority as of June 8, 2000. In contrast, Todryk et al. was published on 11/20/99. In order to reject the instant application under 35 U.S.C. §102(a) the instant invention must have been "...described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent..." [35 U.S.C. §102(a)] Since Todryk et al. was not published before the priority date established for the instant invention, it is submitted that claims 1, 2, 4, 9, 11 and 15 are not anticipated by Todryk et al. under 35 U.S.C. §102(a). Applicant respectfully requests withdrawal of the rejection.

Further, even if Todryk et al. were patented or published before the priority date established for the instant invention, Applicant submits that Todryk et al. is not believed to anticipate the present invention. In order for the cited reference to have anticipated

Applicant's invention, the reference must teach every element of the claim. (MPEP, 8th Ed., 2131)

Todryk et al. is cited as teaching an HSV in which "[I]nasmuch as loss of the gH gene...in the HSV results in the production of non-infectious particles, the virus is replication competent." (April 3, 2003 Office Action, p.6)

Independent claims 1 and 9 have been amended to define over the prior art. In contrast to the present invention, Todryk et al. does not appear to teach a replication competent HSV vector competent to produce infectious particles.

On the basis of these arguments and the amendment, it is submitted that claim 1 is not anticipated under 35 U.S.C. §102(a) by Todryk et al. Thus, it is respectfully requested that the rejection of claims 1, 2, 4, 9, 11 and 15 as anticipated by Todryk et al. be withdrawn.

Rejection under 35 U.S.C. §102(b) as Anticipated by Toda et al.

Claims 1-3, 9, 10 and 15 were held to lack novelty under 35 U.S.C. §102(b) as being anticipated by Toda et al., J. Immunol. 160(9):4457-44655, May 1998.

In order for the cited reference to have anticipated Applicant's invention, the reference must teach every element of the claim. (MPEP, 8th Ed., 2131)

Independent claims 1 and 9 describe an HSV vector competent to replicate and produce infectious particles and comprising a nucleic acid sequence encoding for a compound selected from the group consisting of IL-12, GM-CSF, and CD.

Toda et al. is cited as teaching "a pharmaceutical composition comprising replication competent HSV-1 helper virus and a replication defective HSV-1 which comprises multiple copies of an expression construct ..." (April 3, 2003 Office Action, p.6)

Independent claims 1 and 9 have been amended to define over the prior art. In contrast to the present invention, Toda et al. does not appear to teach a composition

comprising a replication competent HSV vector comprising a nucleic acid sequence encoding for a compound selected from the group consisting of IL-12, GM-CSF, and CD.

On the basis of these arguments and the amendment, it is submitted that claims 1-3, 9, 10 and 15 are not anticipated under 35 U.S.C. §102(b) by Toda et al. Thus, it is respectfully requested that the rejection of claims 1-3, 9, 10 and 15 as anticipated by Toda et al. be withdrawn.

Rejection under 35 U.S.C. §103(a) over Wechsler et al. in view of Toda et al.

Claims 9, 12 and 13 are held to be unpatentable under 35 U.S.C. §103(a) as being obvious over Wechsler et al. US 2002/0098170 in view of Toda et al. (J. Immunol. 160(9):4457-44655, May 1998).

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. 35 U.S.C. §103 (a), emphasis added.

It is asserted that "it would have been obvious to one of skill in the art at the time the invention was made to have constructed the HSV-1 of Wechsler which expressed IL-12 under control of the LAT promoter [and] utilize the construct as taught by Toda…" (April 3, 2003 Office Action, p.7)

However, Wechsler et al. apparently claims an effective filing date of April 26, 1999, establishing that it was unavailable as of the earlier dates, described above, which Applicant submits as evidence of prior invention. Thus, the combination of Wechsler et al. and Toda et al. would not have been obvious to one of skill in the art at the time the invention was made as required by the statute. It is therefore respectfully requested that the rejection of claims 9, 12 and 13 under 35 U.S.C. §103(a) be withdrawn.

Rejection under 35 U.S.C. §103(a) over Andreansky et al. in view of Toda et al.

Claims 1-15 are held to be unpatentable under 35 U.S.C. §103(a) as being obvious over Andreansky et al. (Gene Therapy 5(1):121-130, Jan. 1998) in view of Toda et al. (J. Immunol. 160(9):4457-44655, May 1998).

The teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art, not in applicant's disclosure. *In re Vaeck*, 947 F.2d 488, 20 USPQ2d 1438 (Fed. Cir. 1991).

It is asserted that Andreansky et al. "...suggested that other genes encoding other immune modulat[ors] could be used..." (April 3, 2003 Office Action, p.9) However, the reference teaches that "intracerebral inoculation of HSV expressing either IL-4 or IL-10 into syngeneic murine glioma GL-261 cells implanted in the brains of immunocompetent C57BL/6 mice produced dramatically opposite physiologic responses. The IL-4 HSV significantly prolonged survival of tumor bearers, whereas tumor-bearing mice that received the IL-10 HSV had a median survival that was identical to that of saline treated controls" (Andreansky et al., Abstract). Thus, Andreansky et al., does not appear to teach that substitution of one immune modulator for another allows for a reasonable expectation of success in a method of treating tumor-bearing mice.

It is further asserted that Toda et al. teaches "that IL-12 expression in combination with a cytotoxic replication-competent HSV-1 enhanced the antitumor activity of the HSV-1." (April 3, 2003 Office Action, p.9) However, Toda et al. teach the expression of IL-12 only from a defective HSV-1 vector in combination with a replication-competent helper virus. The reference teaches that the "presence of IL-12 in particles separate from the helper virus and the large number of copies of IL-12 delivered in each defective particle may account for the significant antitumor effect seen both in established inoculated tumors and

distal non-inoculated tumors." (Toda et al., p. 4463, c.1, last para. before Acknowledgments) Thus, the Toda et al. reference teaches away from a replication-competent HSV vector that encodes IL-12, suggesting instead that their vector system is successful because the IL-12 gene is encoded by a defective vector.

In summary, Applicant submits that teaching or suggestion to make the claimed combination and the reasonable expectation of success are not found in the cited prior art references as required for rejection under 35 U.S.C. §103(a). Further, the references are believed to teach away from the claimed invention, as described above.

In view of these remarks and claim amendments, it is respectfully requested that the rejection of claims 1-15 under 35 U.S.C. §103(a) be withdrawn.

Summary

Claims 1-3, 5-10 and 12-23 are the pending claims in this application. Each claim is believed to be in proper form and directed to allowable and patentable subject matter. Reconsideration and allowance of the claims is requested.

Respectfully submitted,

Avery M Goldstein Registration No. 39,204

Gifford, Krass, Groh, Sprinkle,

Anderson & Citkowski, P.C.

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Birmingham, MI 48009

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Attorney for Applicant

Date: 8/29/03

JKS/sh/gs

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DATE OF DEPOSIT <u>August 29, 2003</u>

I hereby certify that this paper or fee (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jonice R. Kuchn



Attorney Docket No. UAB-16102/22

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Richard J. Whitley et al.

Serial No.:

10/009,972

Group Art Unit: 1632

Filing Date:

February 14, 2002

PECEIVED TO 1200 Examiner: S. D. Priebe

For:

HERPES SIMPLEX VIRUS EXPRESSING FOREIGN GENES AND METHOD

FOR TREATING CANCERS THEREWITH

DECLARATION OF RICHARD J. WHITLEY UNDER 37 CFR 1.131

RECEIVED SEP 9 2003

Assistant Commissioner for Patents Washington, D.C. 20231

TECH CENTER 1600/2900

Dear Sir:

I, Richard J. Whitley, declare as follows:

- I am a co-inventor of the invention disclosed in the above-identified application 1. for patent.
- My curriculum vitae is attached detailing my experiences in basic and therapeutic 2. virus research.
- I have reviewed and am familiar with the U.S. Patent Application No. 3. 2002/0098170 listing Wechsler et al. as inventors.
- I understand that claims 1-5, 9-11 and 13-15 of the application have been rejected 4. under 35 USC 102(e) as being anticipated by Wechsler et al.. It is my understanding that Wechsler et al. is cited for teaching a pharmaceutical composition comprising substantially aneurovirulent replication-competent HSV-1 whose genome comprises deletion of both copies of the $\gamma 34.5$ gene and LAT coding sequence and a nucleic acid sequence encoding IL-12 or GM-CSF operably linked to a LAT promoter. I understand that Wechsler et al. is also cited as

teaching a method for treating cancer in a subject by administering a therapeutically effective amount of the HSV directly to the tumor by injection.

- 5. I understand that Wechsler et al. filed their U.S. Patent Application 2002/0098170 on November 29, 2001 and, further, that U.S. Patent Application 2002/0098170 was filed claiming priority as a divisional application to application No. 09/299,817, filed April 26, 1999.
- 6. I state that a composition of the present invention including a replication competent herpes simplex virus vector comprising a nucleic acid sequence encoding interleukin12, granulocyte macrophage colony stimulating factor or cytosine deaminase, operatively linked to a promoter was in fact invented by myself and my co-inventors before April 26, 1999.
- 7. I state that a method for treating a subject suffering from cancer, including the step of administering to a subject a therapeutically effective amount of a replication competent herpes simplex virus comprising a nucleic acid sequence encoding interleukin-12, granulocyte macrophage colony stimulating factor, or cytosine deaminase, such that an anti-cancer response is induced in the subject., was in fact invented by myself and my co-inventors before April 26, 1999.
- 8. I submit herewith notarized copies of lab notebook pages and a summary sheet describing the contents of some of the lab notebook pages as evidence that inventions described in my application were in fact invented before April 26,1999.
- 9. I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date AUG. 21, 2007

Richard J. Whitley

CURRICULUM VITAE

Richard James Whitley, M.D. Department of Pediatrics University of Alabama at Birmingham Suite CHB 303 1600 7th Avenue South Birmingham, Alabama 35233 (205) 934-5316

DATE OF BIRTH

September 15, 1945

PLACE OF BIRTH

Newark, New Jersey

MARITAL STATUS

Married, four children

(Kevin 07/16/76, Christopher 02/16/81, Jennifer 04/13/85 and Katherine 04/13/85)

EDUCATION

Nutley High School, Nutley, N.J., June, 1963. Duke University, Durham, N.C.; May, 1967.

(Chemistry)

George Washington University School of Medicine,

Washington, D.C.; May, 1971. (M.D.)

POST GRADUATE TRAINING

1973-1976 Fellow, Department of Pediatrics, University of Alabama at

Birmingham, Birmingham, Alabama (Special Fellowship

NIAID #F22AI704)

1972-1973 Pediatric Resident, University of Alabama at Birmingham,

Birmingham, Alabama

1971-1972 Pediatric Intern, University of Alabama at Birmingham,

Birmingham, Alabama

PRESENT POSITION

2003

Loeb Eminent Scholar Chair in Pediatrics;

Professor of Pediatrics, Microbiology, Medicine and Neurosurgery;

Director, University of Alabama Center for Biodefense and Emerging Infections;

Director, Division of Pediatric Infectious Diseases;

Vice-Chairman, Department of Pediatrics;

Senior Scientist, Department of Gene Therapy;

Scientist, Cancer Research and Training Center;

Associate Director for Clinical Studies, Center for AIDS Research.

Faculty, Gene Therapy Center

University of Alabama at Birmingham, Birmingham,

Alabama

PAST POSITIONS

2002-2003

Loeb Eminent Scholar Chair in Pediatrics;

Professor of Pediatrics, Microbiology, Medicine

Director, University of Alabama Center for Biodefense and Emerging Infections;

Director, Division of Pediatric Infectious Diseases;

Vice-Chairman, Department of Pediatrics;

Senior Scientist, Department of Gene Therapy;

Scientist, Cancer Research and Training Center;

Associate Director for Clinical Studies, Center for AIDS

Research:

Faculty, Gene Therapy Center;

University of Alabama at Birmingham, Birmingham,

Alabama.

2001

Loeb Eminent Scholar Chair in Pediatrics;

Professor of Pediatrics, Microbiology and Medicine;

Director, Division of Pediatric Infectious Diseases;

Vice-Chairman, Department of Pediatrics;

Senior Scientist, Department of Gene Therapy;

Scientist, Cancer Research and Training Center;

Associate Director for Clinical Studies, Center for AIDS

Research;

Faculty, Gene Therapy Center;

University of Alabama at Birmingham, Birmingham,

Alabama.

Loeb Eminent Scholar Chair in Pediatrics;

2000

Professor of Pediatrics, Microbiology and Medicine; Director, Division of Pediatric Infectious Diseases; Vice-Chairman, Department of Pediatrics; Scientist, Cancer Research and Training Center; Associate Director for Clinical Studies, Center for AIDS Research: Faculty, Gene Therapy Center; University of Alabama at Birmingham, Birmingham,

Alabama.

1994-1999

Loeb Eminent Scholar Chair in Pediatrics; Professor of Pediatrics, Microbiology and Medicine; Vice-Chairman, Department of Pediatrics; Scientist, Cancer Research and Training Center; Associate Director for Clinical Studies, Center for AIDS Research; Faculty, Gene Therapy Center; University of Alabama at Birmingham, Birmingham, Alabama.

1993

Loeb Eminent Scholar Chair in Pediatrics; Professor of Pediatrics, Microbiology and Medicine; Scientist, Cancer Research and Training Center; Associate Director for Clinical Studies, Center for AIDS Research; Vice-Chairman, Department of Pediatrics; University of Alabama at Birmingham, Birmingham, Alabama.

1992

Loeb Eminent Scholar Chair in Pediatrics; Professor of Pediatrics, Microbiology and Medicine; Scientist, Cancer Research and Training Center; Associate Director, Center for AIDS Research; Vice-Chairman, Department of Pediatrics; University of Alabama at Birmingham, Birmingham, Alabama.

1990-1991

Professor of Pediatrics, Microbiology and Medicine; Scientist, Cancer Research and Training Center; Associate Director, Center for AIDS Research; Vice-Chairman, Department of Pediatrics; University of Alabama at Birmingham, Birmingham,

Alabama

	Madana
1989-1990	Professor of Pediatrics, Microbiology and Medicine; Scientist, Cancer Research and Training Center; Associate Director, Center of AIDS Research; University of Alabama at Birmingham, Birmingham, Alabama
1987-1989	Professor of Pediatrics, Microbiology; Scientist, Cancer Research and Training Center; Associate Director, Center of AIDS Research; University of Alabama at Birmingham, Birmingham, Alabama
1985-1987	Professor of Pediatrics, Microbiology; Scientist, Cancer Research and Training Center; Director, Clinical Research Unit; University of Alabama at Birmingham, Birmingham, Alabama
1984-1985	Professor of Pediatrics, Associate Professor of Microbiology; Scientist, Cancer Research and Training Center; Director, Clinical Research Unit; University of Alabama at Birmingham, Birmingham, Alabama
1983-1984	Professor and Acting Chairman, Department of Pediatrics; Associate Professor of Microbiology; Scientist, Cancer Research and Training Center; Director, Clinical Research Unit; University of Alabama at Birmingham, Birmingham, Alabama
1981-1983	Professor and Vice Chairman, Department of Pediatrics; Associate Professor of Microbiology; Scientist, Cancer Research and Training Center; Director, Clinical Research Unit; University Hospital; University of Alabama at Birmingham, Birmingham, Alabama
1978-1980	Associate Professor of Pediatrics, Assistant Professor of Microbiology;

Scientist, Cancer Research and Training Center; Director, Clinical Research Unit; University Hospital; University of Alabama at Birmingham, Birmingham,

Alabama

Assistant Professor of Pediatrics and Microbiology; 1977-1978

Associate Scientist, Cancer Research and Training Center; University of Alabama at Birmingham, Birmingham,

Alabama

1976-1977 Assistant Professor Pediatrics and Microbiology; 1976-1978

University of Alabama at Birmingham, Birmingham,

Alabama

EDITORIAL BOARDS

1987-Present 1985-1991 1988-Present 1989-1997 1990-Present 1990-Present 1991-1997 1991-Present 1991-1999	Editor, Antiviral Research. Section Editor, Intervirology. Editorial Board, Journal Infectious Diseases. Editorial Board, Pediatric Infectious Diseases Journal. Editorial Board, Sexually Transmitted Diseases. Editorial Board, Reviews in Medical Virology. Editorial Board, Pediatrics Editorial Board, Antimicrobial Agents and Chemotherapy Editorial Board, Infectious Diseases in Clinical
1991-1998	Practice Senior Editor, Infectious Agents and Disease
1992-1998	Editorial Advisory Board, Virus and Life
1993-Present	Editorial Advisory Board, Infectious Diseases Watch for Pediatricians
1994-Present	Editorial Board, Antiviral Chemistry and Chemotherapy
1995-Present	Section Editor, Antiviral Therapy
1997-Present	Editorial Board, Seminars in Pediatric Infectious Diseases
1995-Present	Editorial Board, Gene Therapy
1999-2002	Editorial Advisory Board, Medscape Infectious Disease
2001	External Review Board, Glaser Pediatric Research Network (GPRN)
2002	APGO Educational Series on Women's Health Issues:

Sexually Transmitted Infections

AWARDS AND HONORS

1967	Dean's Scholar
1967	Who's Who in American Colleges and Universities
1971	William Beaumont Research Society
1972	Best Teaching Intern, University of Alabama at
1972	Birmingham, School of Medicine
1974	Commencement Speaker, Graduation
1977	Award of Commendation, Board of Trustee, University of
1377	Alabama at Birmingham
1981	Society for Pediatric Research
1981	Infectious Diseases Society
1982	Alpha Omega Alpha
1982	Arnold Welch Visiting Professor of Pharmacology (Yale
1762	University)
1983	Award of Commendation, Board of Trustees, The
1703	Children's Hospital
1983	Transplantation Society, 1983-present.
1986	Who's Who in America
1989	Elected American Society for Clinical Investigation
1990	Wellcome Visiting Professor (The University of
1330	Cincinnati)
1991	Pediatric Infectious Diseases Journal Visiting Professor
2332	(Duke and The University of North Carolina)
1991	Award for Excellence in Pediatric Research,
	American Academy of Pediatrics (Former Mead Johnson
	Award)
1991	Canon Eley Lecturer, Harvard School of
	Medicine, Children's Hospital; Boston, Massachusetts
1991	Best Doctors in America
1991	Elected American Pediatric Society 1991
1992	1992 S. Stanley Schneierson Memorial Lecturer,
	Mt. Sinai Medical Center
1992	1992 Erwin Netter Memorial Professor, University
	of Buffalo
1992	1992 MacLaughlin Visiting Professor, University
	of Texas, Galveston
1993	Best Doctors in America
1994	1994 Bristol Myers Squibb Unrestricted Grant
	Award (1994-1999)
1994	1994 Tinsley Harrison Award (University of
	Alabama at Birmingham)
1994	1994 Achievement Award, International Antiviral

	Research Symposium, Nice, France
1995	Best Doctors in America
1996	Best Doctors in America
1996	Elected, Association of American Physicians
1996	Clinical Virology Award, Pan American Society for Virology
1997	Elected Fellow, American Academy of Microbiology
1998	March of Dimes Visiting Professor, New York Chapter
1998	Award for Distinguished Scientific Accomplishment, International Society for Antiviral Research
1998	Chairman's Award, Department of Pediatrics, University of Alabama
1998	Distinguished Faculty Lecturer, University of Alabama at Birmingham
1999	Ashley Weech Visiting Professor, University of Cincinnati
1999	Robert Ward Visiting Professor, University of Southern California
1999	Best Doctors in America
2000	Distinguished Alumnus Award, The Children's Hospital of Alabama, The university of Alabama at Birmingham
2000	Hugh C. Dillon Memorial Lecturer, University of Alabama at Birmingham
2000	Appointed, Board of Scientific Counselor for NIAID
2000	John Enders Lecturer, Infectious Diseases Society of America
2000	Aventis Award, American Society of Microbiology
2001	John Soothill Lecture. University of York, England, April 4, 2001
2001	American Association Pharmaceutical Physicians
	Distinguished Service Award
2001	Medical Student Research Day Lecturer,
	University of Alabama at Birmingham
2001	Best Doctors in America
2003	Pediatric Research Day Visting Professor, Mount Sinai, New York, NY.
MEDICAL LICENSURE	1994 American Board of Pediatrics,

AND CERTIFICATION

Recertification

1994 American Board of Pediatrics, Subspecialty: Infectious Diseases Pediatric Board Certification, 1976.

Diplomate American Board Medical Examiners,

1973 Alabama (License #6475). Staff Physician, The Children's Hospital, Birmingham, Alabama. Staff Physician, University of Alabama at Birmingham Hospitals and Clinics, Birmingham, Alabama.

SOCIETIES

American Society for Microbiology
Jefferson County Medical Society
American Medical Association
Jefferson County Pediatric Society
Executive Committee, 1976-1985.
President, 1984-1985.
American Academy of Pediatrics
Society of Health and Human Values
American Society for Virology
Pediatric Infectious Diseases Society
Phi Beta Delta Honor Society, 1992
The American Society of Gene Therapy (ASGT), 1997
Society of Pediatric Research
American Pediatric Society

NATIONAL COMMITTEES

ICAAC Program Committee, 1988-1991; 1991-1994. Mead Johnson Award, American Academy of Pediatrics, 1982-1985. Chairman, 1984-1985. NIH Virology Study Section, 1985-1989. NIH AIDS Executive Advisory Committee, 1986-1987. NIH AIDS Clinical Trials Committee, 1987. NIH AIDS Data Safety & Monitoring Board, Chairman, 1987-1995 FDA/IDSA Treatment Guidelines Committee, 1989-American Society for Virology, Council, 1989-1991. NIH NIAID DMID Advisory Committee, 1990-1991. Program Committee - Infectious Disease Society's Scientific Program, 1992, 1993. American Board of Pediatrics, Sub-Board Infectious

Diseases, 1991-1998.

Review Committee, Clinical Research, National Foundation March of Dimes, January, 1994 to December, 2000.

Subcommittee of Medical Knowledge Self-Assessment Program in the Subspecialty of infectious Diseases, American College of Physicians, 1992-1994.

Chair, International Herpes Management Forum, 1993-Present.

SOCA, Data Policy Committee, 1993-Present Office of AIDS Research Executive Advisory Committee, 1995-1998

United States Pharmacopeial (USP) Committee of Revision, 1995-2000

American Academy of Pediatrics Committee on Infectious Diseases, 1995-2000

National Institute of Health--OAR

Clinical Trials Area Review Panel, Chair, 1995-1996

National Board of Medical Examination Sept 1, 1995-1998

Council, Infectious Diseases Society of America, 1996-1999.

National Advisory Committee—Stopping the Spread of Herpes Campaign" ASHA, 1998-2001

Chair, VA-VZV Vaccine DSMB, 1999-

March of Dimes Foundation, Basil O'Connor Award Committee, 2000-2006.

Immunizing Agents Expert Committee for United States Pharmacopeial (USP), 2000.

NIH/NIAID Board of Scientific Counselors 2000-2005 ID Web Advisory Board Member, 2001.

Committee on Infectious Diseases, Chicago, March 30, 2001 FDA/CBER Committee for Vaccine Evaluation, 2001-Bionet Working Group Meeting, Bethesda, MD. March 20, 2002.

Drug Information Association (DIA). Data Monitoring Committee/Institutional Review Board Conference. Bethesda, MD. January 28-29, 2002.

Co-Chair, Virology Section, National Institute of Allergy and Infectious Diseases Blue Ribbon Panel on Bioterrorism. February, 2002.

Chair of Pathogenesis and Host Response Mechanisms Fellowship Recruitment Committee, American Academy of Microbiology, July 1, 2002-June 30, 2005. Chair, Board of Scientific Councilors, National Institute of Allergy and Infectious Diseases, 2002-2005. IDSA Program Committee, 2003 Annual Meeting, San Diego, CA, 2003.

INTERNATIONAL COMMITTEES

International Society for Antiviral Research, President, 1988-1990.

Board of Directors, International Society for Antiviral Research, 1988-Present

Chair, International Program Committee, International Society for Antiviral Research, 1988-Present

Council, International Society for Infectious Diseases, 1996-2002

Advisory Committee for the International Congress of Virology, 2000

UNIVERSITY COMMITTEES

Comprehensive Cancer Review, 1977-1980, 1984-1987, 1988-1991.

Faculty Council, 1980-1984.

Chairman, 1983-1984.

Joint Faculty Sciences Committee, 1985present.

Self-Study, Research Subcommittee, 1982-1983.

Faculty Search Committees

Radiology, 1981-1982.

Microbiology, 1982, 1985.

Genetics (Chair), 1996

Comprehensive Cancer Center Advisory, 1982.

Clinical Pharmacology Advisory, 1982.

Department of Pediatrics

Housestaff Selections, 1978-present.

Faculty Promotions, 1980-present.

Department of Microbiology

Faculty Promotions, 1984-1987.

Faculty Council, 1992-1995.

Executive Committee, Comprehensive Cancer Center, 1992-Present.

University Grievance Committee, 1993-Present Obstetrics & Gynecology Search Committee, 1994 School of Medicine Research Advisory Committee, 1994-1998.

University Research Advisory Group, 1995-

Ireland Award for Scholarly Distinction Committee, 1999-

2002.

Interdisciplinary Advisory Committee (IAC) of the UAB Center for Disaster Preparedness (CDP), 2001-

CHILDREN'S HOSPITAL

Critical Care, 1979-present.

Co-Chairman, 1983.

Infection Control, 1982-present. Co-Chairman, 1984-present.

Board of Trustees Development Committee, 1983.

Medical Executive Committee, 1983. Hospital Task Force, 1987-1988.

TCH Research Institute Committee, 1997-present

CIVIC ORGANIZATIONS

Alabama School of Fine Arts Board,

1982-1990.

PATENTS

Weichselbaum R, Roizman B, Whitley RJ, Newman PNI. Treatment of tumors with genetically engineered herpes virus. Publication No. US-2002-0019362-A1. February 14, 2002.

ORGANIZING COMMITTEES FOR NATIONAL AND INTERNATIONAL MEETINGS

American Society for Clinical Investigations, Washington, D.C., 1983. Second International Conference on Immunobiology and Prophylaxis of Human

Herpesvirus Infections, Ft. Lauderdale, FL, 1985.

Society for Pediatric Research, Washington, D.C., 1985.

Eleventh International Herpesvirus Workshop, Leeds, England, 1986.

Vaccine Workshop, 26th Interscience Conference on Antimicrobial Agents and Chemotherapy, New Orleans, LA, 1986. Antiviral Workshop, 26th Interscience Conference on Antimicrobial Agents and Chemotherapy, New Orleans, LA, 1986.

Third International Conference on Immunobiology and Prophylaxis of Human Herpesvirus Infections, Marcos Island, FL, 1986.

International Conference on AIDS in Children, Adolescents, and Heterosexual Adults: An Interdisciplinary Approach to Prevention, Atlanta, GA, 1987.

International Society for Antiviral Research, Williamsburg, VA, 1988.

Vaccine Workshop, 29th Interscience Conference on Antimicrobial Agents and Chemotherapy, Houston, TX, 1989.

Fourth International Conference on Immunobiology of Prophylaxis and Human Herpesvirus Infections, Japan, 1989.

Third International Conference on Antiviral Research, Brussels, 1990.

Fourth International Conference on Antiviral Research, New Orleans, 1991.

Fifth International Conference on Immunobiology and Prophylaxis of Human Herpesvirus Infections, Tampa, Florida, 1991.

Fifth International Conference on Antiviral Research, Vancouver, 1992.

NIH/CDC Meeting, March, 1992.

Sixth International Conference on Antiviral Research, Venice, Italy, 1993.

National Academy of Sciences Colloquium on Changes in Human Ecology and Behavior: Effects on Infectious Diseases, Washington, D.C., September, 1993.

Sixth International Conference on Immunobiology and Prophylaxis of Human Herpesvirus Infections, Sopporo, Japan, 1993

First IHMF Annual Meeting Co-Organizer, Monte Carlo, 1993

Second IHMF Annual Meeting Co-Organizer, San Francisco, 1994

Antiviral Resistance Meeting, Bermuda, December

8-11, 1994

Seventh International Conference on Antiviral Research, Santa Fe, NM, 1995

Seventh International Conference on Immunobiology and Prophylaxis of Herpesvirus Infections, Tampa, FL, 1995 Third IHMF Annual Meeting Co-Organizer, Istanbul, Turkey, 1995

American Academy of Pediatrics, Committee on Infectious Diseases, Red Book Review Meeting, Chicago, IL April 13-14, 1996

Eighth International Conference of Antiviral Research, Ursandi, Japan, 1996

Fourth IHMF Annual Meeting Co-Organizer, Barcelona, Spain, 1996

Eighth International Conference on Immunobiology and Prophylaxis of Herpesvirus Infections, Mishima, Japan, 1997

Ninth ISAR Meeting, Japan, 1997

Fifth IHMF Annual Meeting Co-Organizer, Cannes, Frances, 1997

Tenth ISAR Meeting, Atlanta, GA, 1998

Sixth IHMF Annual Meeting Co-Organizer, Marrakech, Morocco, 1998

Ninth International Conference on Immunobiology and Prophylaxis of Herpesvirus Infections, Ciscoo, Italy, 1999 Eleventh ISAR Meeting, San Diego, CA, 1999

Twelfth ISAR Meeting, Jerusalem, Israel, 1999

Seventh IHMF Annual Meeting Co-Organizer, Seville, Spain, 1999

Thirteenth ISAR Meeting, Baltimore, MD, 2000

Tenth International Conference on Immunobiology and Prophylaxis of Herpesvirus Infections, 2000

Eighth IHMF Annual Meeting Co-Organizer, St. Julian Bay, Malta, 2000

Fourteenth ISAR Meeting, Seattle, WA, 2001

IHMF 2001 Workshop Co-Organizer. San Diego, CA. March 6-7, 2001.

Keystone Symposia on Molecular and Cellular Biology Co-Organizer. "Control of Viral Latency and Persistence", Breckenridge, CO, March, 2001

ECCMID, Istanbul, Turkey, April 1, 2001

14th International Conference of Antiviral Research. Seattle WA, April 7-13, 2001

LAMIDS meeting chairman, Louisiana. "Herpes Simplex Virus Infections of the CNS" lecture, April 20-22, 2001

4th Annual Conference on Vaccine Research. Arlington, VA,. "Engineered Herpes Simplex Vaccine Implications Viruses Expressing IL-12 or GM- CSG: Gene Therapy and vaccine Implications", April 23-25, 2001

IHMF 2001 Workshop, Berlin, Germany, June 28-29, 2001 Keystone Symposia for the Identification and Development of Novel Antimicrobial Agents B1. Santa Fe, NM January 31 – February 5, 2002

IHMF CNS Workshop, Denver, Colorado, April 21-22, 2002

IHMF Transmission of HSV Workshop, San Diego, California, September 25, 2002

Workshop for Therapeutics for West Nile Virus. Washington, D.C., November 20-21, 2002

Conflicts and Controversies in the Operation of Clinical Trials Monitoring Committee, Washington, D.C., January 16-18, 2003

IHMF Annual Meeting, Paris France, February 26-March 2, 2003

IHMF Workshop, Organizing Committee, Seattle, Wshington, May 5-6, 2003.

BOOK EDITORS/CO-EDITORS

Antiviral Agents and Viral Diseases of Man. Galasso G, Merigan TC, and Whitley RJ, Editors. Raven Press, 1990

Immunobiology and Prophylaxis of Human Herpesvirus Infections. Lopez C, Mori R, Roizman B, and Whitley RJ, Editors. Plenum Publishing Corporation, 1990

Infections of the Central Nervous System. Scheld WM, Durack DT, and Whitley RJ, Editors. Raven Press, 1991

Seminars in Pediatric Infectious Diseases. Feigin RD and Whitley RJ, Editors. W. B. Saunders Company, 1991

The Human Herpesviruses: Biology, Pathogenesis and Treatment. Roizman B, Whitley RJ, and Lopez C, Editors.

Raven Press, 1993

Practical Diagnosis of Viral Infections. Galasso G, Whitley RJ, and Merigan TC, Editors. Raven Press, 1992

Acyclovir: The Landmark Papers. Whitley RJ and Gnann J, Editors. Science Press, 1993

A Color Atlas of Herpesviruses Infections. Vols 1-6. Whitley RJ, Hayden FG, Richman DD, Editors. Churchill Communications Japan, 1996

Clinical Virology. Richman DD, Whitley RJ, Hayden FG, Editors. Churchill Livingstone, 1997

Antiviral Agents and Viral Diseases of Man. Galasso G, Merigan TC, and Whitley RJ, Editors. Lippincott-Raven Publishers, 1997

Infections of the Central Nervous System. Scheld WM, Durack DT, and Whitley RJ, Editors. Lippincott-Raven Publishers, 1997

Optimizing the Management of Genital Herpes. Whitley RJ, Editor. The Royal Society of Medicine Press Ltd, 2000

Clinical Virology. Richman DD, Whitley RJ, Hayden FG, Eds. ASM Press, 2002

A Practical Guide to Clinical Virology, 2nd Edition. Haaheim L, Pattison, J, Whitley R, Eds. Wiley & Sons, Ltd. West Sussex, England 2002

Antibiotic and Chemotherapy, 8th Edition. Finch RG, Greenwood D, Norrby SR, Whitley RJ, Eds., 2003

Human Herpesvirus: Biology, Therapy & Immunoprophylaxis. Whitley RJ. In: Arvin AM, Roizman B, Whitley R, Mocarski E, Campadelli-Fiume G, Yamanishi K. Cambridge University Press. In Press

Infections of the Central Nervous System. Scheld WM, Mara, C. and Whitley RJ, Editors. Lippincott-Raven

Publishers, In Press

Federal Site Visits and Consultations:

- 1. Ara-AMP Contractor's Meeting, Bethesda, Maryland, September 1, 1976.
- 2. Infectious Diseases Committee Meeting, Bethesda, Maryland, October 1, 1976.
- 3. Project Officers Meeting, NIH, Bethesda, Maryland, April 22, 1977.
- 4. NIH Clinical Center, Bethesda, Maryland, June 1-3, 1977.
- 5. NIH Antiviral Substances Program Contractor's Meeting, New York, October 13, 1977.
- 6. NIH Contractor's Meeting, Bethesda, Maryland, November 17-18, 1977.
- 7. NIH Herpesvirus Workshop, Bethesda, Maryland, December 2, 1977.
- 8. Microbiology and Infectious Diseases Advisory Committee, Ad Hoc Reviewer, May 31, 1978.
- 9. Workshop on Development of Antivirals, NIH; Bethesda, Maryland, June 29-30, 1978.
- 10. NIAID Antiviral Substances Program Annual Contractor's Meeting, Atlanta, Georgia, October 3, 1978.
- 11. Joint discussion with NIH and Burroughs Wellcome and Company, Durham, North Carolina, November 29-December 1, 1978.
- 12. Mount Sinai School of Medicine Site Visit, NCI, New York, March 7-9, 1979.
- 13. NIH Contractor's Meeting, Bethesda, Maryland, June 25-27, 1979.
- 14. Clinical Research Subpanel, Bethesda, Maryland, January 7, 1980.
- 15. Stanford University Site Visit, NIAID, Palo Alto, California, June 23-25, 1980.
- 16. NIH Antiviral Therapy of Chronic Active Hepatitis B, Bethesda, Maryland, September 9-11, 1980.
- 17. NIH Control of Genital Herpes Simplex Virus Infections, Bethesda, Maryland, February 2-3, 1981.
- 18. Microbiology and Infectious Diseases Advisory Committee, Ad Hoc Reviewer, Dallas, Texas, March 6, 1981.

- 19. NIH Genital Herpes, Bethesda, Maryland, May 12-13, 1981.
- 20. University of Minnesota clinical Research Center Site Visit, Minneapolis, Minnesota, October 6-7, 1981.
- 21. University of California in Los Angeles Site Visit, Los Angeles, California, May 18-19, 1982.
- 22. Beth Israel Hospital Site Visit, Boston, Massachusetts, June 3-4, 1982.
- 23. Microbiology and Infectious Diseases Advisory Committee, NIH, Bethesda, Maryland, June 27-29, 1982.
- 24. University of Washington in Seattle Site Visit, Seattle, Washington, September 29-30, 1982.
- 25. Special Study Section Meeting, NIH, Bethesda, Maryland, December 15-18, 1982.
- 26. University of California in Los Angeles Site Visit, Los Angeles, California, February 29-March 1, 1983.
- 27. University of California, San Francisco Site Visit, San Francisco, California, March 2-4, 1983.
- 28. University of New York Medical Center Site Visit, New York, New York, March 13-15, 1983.
- 29. NIH Conference Call. Dr. Robert E. Slitzel, Chairperson, November 7, 1983.
- 30. NIH Ad Hoc Committee, Dr. Richard J. Whitley, Chairperson, Bethesda, Maryland, March 16, 1984.
- 31. American Cancer Society Site Visit, Chapel Hill, North Carolina, April 18, 1984.
- 32. Source Selection Meeting, NIH, Bethesda, Maryland, May 30, 1984.
- 33. Food and Drug Administration, Clinical Use of Oral Acyclovir in Normal Host, November 29, 1984.
- 34. NIAID Workshop, "Evaluation of Antivirals and Interferon in Herpesvirus Animal Models", NIH, Bethesda, Maryland, May 16-17, 1985.

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- 35. Virology Study Section, NIH, Bethesda, Maryland, June 6-8, 1985.
- 36. Virology Study Section, NIH, Bethesda, Maryland, October 10-12, 1985.
- 37. Virology Study Section, NIH, Bethesda, Maryland, March 6-8, 1986.
- 38. University of Rochester Site Visit, Rochester, New York, May 8-9, 1986.
- 39. Virology Study Section, NIH, Bethesda, Maryland, June 11-13, 1986.
- 40. NIAID Workshop, "AIDS Vaccines", NIH, Bethesda, Maryland, July 28-29, 1986.
- 41. University of Colorado Site Visit, Denver, Colorado, August 28-29, 1986.
- 42. Virology Study Section, NIH, Bethesda, Maryland, October 16-17, 1986.
- 43. Virology Study Section, NIH, Bethesda, Maryland, March 4-6, 1987.
- 44. Virology Study Section, NIH, Bethesda, Maryland, June 25-27, 1987.
- 45. Virology Study Section, NIH, Bethesda, Maryland, October 8-10, 1987.
- 46. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, December 15-18, 1987.
- 47. Virology Study Section, NIH, Bethesda, Maryland, February 26-28, 1988.
- 48. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, March 28, 1988.
- 49. AIDS Advisory Committee, Washington, D.C., July 7-8, 1988.
- 50. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, September 3, 1988.
- 51. National Cooperative Drug Discovery Group Meeting, San Francisco, California, November, 1988.
- 52. Virology Study Section, NIH, Bethesda, Maryland, February 23, 1989.
- 53. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, March 3, 1989.
- 54. Drug Review Aids Program, Bethesda, Maryland, April 10, 1990.
- 55. Antiviral Data, Safety, Monitoring Committee Meeting, May 9-10, 1989.

- 56. NIH Clinical Research Meeting, Bethesda, Maryland, May 15-16, 1989.
- 57. Virology Study Section, NIH, Bethesda, Maryland, June 15-16, 1989.
- 58. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, August 2-3, 1989.
- 59. National Institute Child Health Development Meeting. Review of SIDS Proposal. Bethesda, Maryland, August, 1989.
- 60. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, November 2, 1989.
- 61. CDC/IDSA CMV Workshop, Centers for Disease Control, Atlanta, Georgia, January 22-23, 1990.
- 62. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, February 1-2, 1990.
- 63. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, May, 1990.
- 64. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, August 1, 1990.
- 65. Advisory Council for NIAID, September 24-25, 1990.
- 66. NIAID IFDA Resistance Meeting, October 1-2, 1990.
- 67. NIAID Collaborative Antiviral Study Group Meeting, October 3-4, 1990.
- 68. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, November 10-11, 1990.
- 69. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, February 10-11, 1991.
- 70. NIAID Collaborative Antiviral Study Group Meeting, Bethesda, Maryland, February 12, 1991.
- 71. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, May 1-2, 1991.
- 72. NIAID Collaborative Antiviral Study Group Meeting, Bethesda, Maryland, June 25, 1991.
- 73. NIAID STD Vaccine Workshop, Hamilton, Montana, July 29 August 2, 1991.

- 74. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, August 28-29, 1991.
- 75. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, November 7-8, 1991.
- 76. FDA Combination Therapy Meeting, Rockville, Maryland, November 18 21, 1991.
- 77. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, February 13-14, 1992.
- 78. Fifth National Forum on AIDS, Hepatitis, and Other Blood-Borne Diseases, Atlanta, Georgia, March 29-April 1, 1992.
- 79. AIDS Data, Safety, Monitoring Board Meeting, Bethesda, Maryland, May 7-8, 1992.
- 80. ICAAC Committee Meeting, Washington, DC, June 4-5, 1992.
- 81. NIH/FDA Advisory Committee Review of Ribavirin, Bethesda, Maryland, June 30, 1992.
- 82. AIDS Data, Safety, Monitoring Board Meeting, Washington, DC, August 20-21, 1992.
- 83. AIDS Data, Safety, Monitoring Board Meeting, Washington, DC, November 16-17, 1992.
- 84. CDC, STD Treatment Guidelines Committee, Atlanta, Georgia, January 19-21, 1993.
- 85. NIAID/DSMB Meeting, Washington, D. C., February 11-12, 1993.
- 86. NIH/DRG Pathogenesis Study Section Consent Review, Washington, D.C., May 12, 1993.
- 87. NIAID/DSMB Meeting, Washington, D. C., May 20-21, 1993.
- 88. NIAID/CDC Hantavirus Committee, August, 1993.
- 89. NIAID/DSMB Meeting, Washington, D. C., September, 1993.
- 90. NIAID/DSMB Meeting, Washington, D. C., November, 1993.
- 91. NIAID/CASG, Bethesda, Maryland, December, 1993.
- 92. NIH Reunion Task Force, Mind/Body Interactions and Psychoneuroimmunological Aspects of Health and Disease, Washington, D. C., Jan. 12-14, 1994

- 93. NIAID DSMB, Washington, D. C., February 17-18, 1994.
- 94. NIAID Adolescents and STD, April 12-14, 1994.
- 95. NIAID/DSMB Meeting, Washington, D. C., May 17-18, 1994.
- 96. Update, CDC/NIH/IDSA, AIDS OI Committee, Atlanta, Georgia, September 26-27, 1994.
- 97. NIAID/DAIDS, Statistical Issues in HIV/AIDS Research Symposium, Bethesda, Maryland, June 12-13, 1995.
- 98. NIH AIDS Program Evaluation Work Group Meeting, Bethesda, MD, August 10-11, 1995.
- 99. NIH/DMID Adolescents and STD Meeting, August 14-16, 1995.
- 100. OAR Meeting, Clinical Trials, Bethesda, MD, September 28, 1995.
- 101. OAR Meeting, Clinical Trials, Bethesda, MD, October 10-11, 1995.
- 102. NIH AIDS Program Evaluation Working Group, Bethesda, MD, October 12-13, 1995.
- 103. NIH/DMID Focus Group Meeting, Bethesda, MD, December 8, 1995.
- 104. Institute of Medicine Vaccine Advisory Committee, December 11, 1995.
- 105. NIH/DMID Adolescents and STD Meeting, Bethesda MD, January 22, 1996.
- 106. NIH AIDS Program Evaluation Work Group Meeting, Bethesda, MD, February 15-16, 1996.
- 107. NIH AIDS Research Program Evaluation Clinical Trials Area Review Panel.
- 108. NIH OAR Research Advisory Council, Rockville, MD, March 13, 1996
- 109. NIH Special Emphasis Panel. Coordination of Rare Diseases Research, 1999.
- 110. CDC Encephalitis Working Group Member. 1999.
- 111. NIH Special Emphasis Panel. HIV Vaccine Trials Network Clinical Trials Units Review. Washington, D. C. February 10, 2000.

- 112. NIH/NIAID Board of Scientific Counselors. Rockville, MD, June 4-5, 2000.
- 113. CDC Workshop on CMV Vaccine Development. October 25, 2000.
- 114. NIH/NIAID Board of Scientific Counselors. Rockville, MD, December 11-13, 2000.
- 115. NIH/NIAID Board of Scientific Counselors. Bethesda, MD, June 11-13, 2000.
- 116. FDA. Vaccines and Related Biological Products Advisory Committee. Bethesda, MD, September 13-14, 2001.
- 117. FDA. Vaccines and Related Biological Products Advisory Committee. Bethesda, MD, January 30, 2002.
- 118. FDA. Vaccines and Related Biological Products Advisory Committee. Bethesda, MD, May 21, 2002.
- 119. NIAID Board of Scientific Counselors. Hamilton, Montana, June 10-12, 2002.
- 120. Joint Working Committee on Downselecting, Department of Health and Human Services, October 15, 2002.
- 120. NIAID Blue Ribbon Panel on Bioterrorism Related Research. Bethesda, MD, October 22-22, 2002.
- 121. NIAID Board of Scientific Counselors. Besthesda, Maryland, December 8-11, 2002.
- 122. FDA, NCI Biological Resource Branch Oversight Committee. Telecommunications, Frederick, Maryland, December 16, 2002.
- 123. FDA-Center for Biologics Evaluation and Research (CBER) Labor of Mycobacterial Diseases and Cellular Immunology (LMDCI), Bethesda, Maryland, January 7, 2002.
- 124. NIAID Board of Scientific Counselors. Besthesda, Maryland, June 9-10, 2003.

Original Articles

- 1. Ch'ien LT, Cannon NJ, Charamella LJ, Dismukes WE, Whitley RJ, Buchanan RA and Alford CA Jr: Effect of adenine arabinoside on severe herpesvirus hominis infections in man. Preliminary Report. J Infect Dis. 128:658-663, 1973.
- 2. Ch'ien LT, Cannon JJ, Whitley RJ, Diethelm AG, Dismukes WE, Scott CW, Buchanan RA and Alford CA Jr: Effect of adenine arabinoside on cytomegalovirus infections. J Infect Dis. 130:32-39, 1974.
- 3. Boston Interhospital Virus Study Group and the NIAID Sponsored Cooperative Antiviral Clinical Study (Alford, Chien, Whitley, et al.): Failure of high dose 5-iodo-2'-deoxyuridine in the therapy of herpes simplex virus encephalitis: Evidence of unacceptable toxicity. N Engl J Med. 292:600-603, 1975.
 - 4. Ch'ien LT, Whitley RJ, Nahmias AJ, Levin EB, Linnemann CC Jr, Frenkel LD, Bellanti JA Buchanan RA, and Alford CA Jr: Antiviral chemotherapy and neonatal herpes simplex virus infection, A pilot study: Experience with adenine arabinoside (ara-A). Pediatrics. 55:678-685, 1975.
 - 5. Whitley RJ, Ch'ien LT, Buchanan RA, and Alford CA Jr: Studies on adenine arabinoside a model for antiviral chemotherapeutics. In: <u>Antiviral Mechanisms Perspectives in Virology IX</u>, Morris Pollard (ed), Academic Press (New York), Chapter 18, 1975, 315-335.
- 6. Alford CA Jr, and Whitley RJ: Therapy of herpetic infections in man a status report. In: <u>Cancer Biology III: Herpes Virus Epidemiology, Molecular Events, Oncogenicity and Therapy, Carmia Borek and Donald West King (eds), Stratton Intercontinental Medical Book Corp (New York), 1976, 159-172.</u>
- 7. Ceballos R, Chien LT, and Whitley RJ: Cerebellar hypoplasia in an infant with congenital cytomegalovirus infection. Pediatrics. 57:155-157, 1976.
- 8. Whitley RJ, Ch'ien LT, Dolin R, Galasso GJ, Alford CA Jr, and the Collaborative Antiviral Study Group: Adenine arabinoside therapy of herpes zoster in the immunosuppressed: NIAID Collaborative Antiviral Study. N Engl J Med. 294:1193-1199, 1976.
- 9. Alford CA Jr. and Whitley RJ: Treatment of infections due to herpesvirus in humans: A critical review of the state of the art. J Infect Dis. 133:A101-A108, 1976.
- 10. Ch'ien LT, Whitley RJ, Alford CA Jr, Galasso GJ, and the Collaborative Study Group: Adenine arabinoside for therapy of herpes zoster in immunosuppressed patients: Preliminary results of a collaborative study. J Infect Dis. 133:A184-A191, 1976.

- 11. Whitley RJ, Brasfield D, Reynolds DW, Stagno S, Tiller RE and Alford CA Jr: Protracted pneumonitis in young infants associated with perinatally acquired cytomegaloviral infection. J Pediatr. 80:16-22, 1976.
- Whitley RJ, Soong SJ, Dolin R, Galasso GJ, Chien LT, Alford CA and the Collaborative Study Group: Adenine arabinoside therapy of biopsy-proved herpes simplex encephalitis: National Institute of Allergy and Infectious Diseases Collaborative Antiviral Study. N Engl J Med. 297:289-294, 1977.
- Pass RF, Long WF, Whitley RJ, Soong SJ, Diethelm AG, Reynolds DW and Alford CA Jr: Productive infection with cytomegalovirus and herpes simplex virus in renal transplant recipients: role of source of kidney. J Infect Dis. 137:556-563, 1978.
- 14. Whitley RJ and Alford CA Jr: Developmental aspects of selected antiviral chemotherapeutic agents. Annu Rev Microbiol. 32:285-300, 1978.
- 15. Whitley RJ and Alford CA Jr: Current status of antiviral chemotherapy. South Med J. 71:1134-1140, 1978.
- 16. Tucker BA, Doekel RC Jr, Whitley RJ, and Dismukes WE: Herpes simplex virus encephalitis: an atypical presentation. South Med J. 71:1431-1433, 1978.
- 17. Chen AB, Ben-Porat T, Whitley RJ and Kaplan AS: Purification and characterization of proteins excreted by cells infected with herpes simplex virus and their use in diagnosis. Virology. 91:234-242, 1978.
- Dolin R, Reichman RC, Mazur MH and Whitley RJ: Herpes zoster-varicella infections in immunosuppressed patients. Ann Intern Med. 89:375-388, 1978.
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Articles in Press

1. Whitley RJ. Critical Drug Appraisal: Famciclovir. Drug Therapy.

Original Articles-Submitted for Publication:

- 1. Ennis DM, Lackey PC, Jernigan DB, Good JK, Lakeman Fl, Whitley RJ, Richey CM, Hook EW. High prevalence of non-gonococcal, non-chlamydia urethritis in association with genital ulcer disease in men. Clin Infect Dis.
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Book Chapters

- 1. Whitley RJ, Chien LT, Nahmias AJ, Buchanan RA and Alford CA Jr: Adenine arabinoside therapy of neonatal herpetic infections. In: Adenine Arabinoside: An Antiviral Agent, D Pavan-Langston, Robert A Buchanan and Charles A Alford Jr (eds), Raven Press (New York), 1975, pp 225-235.
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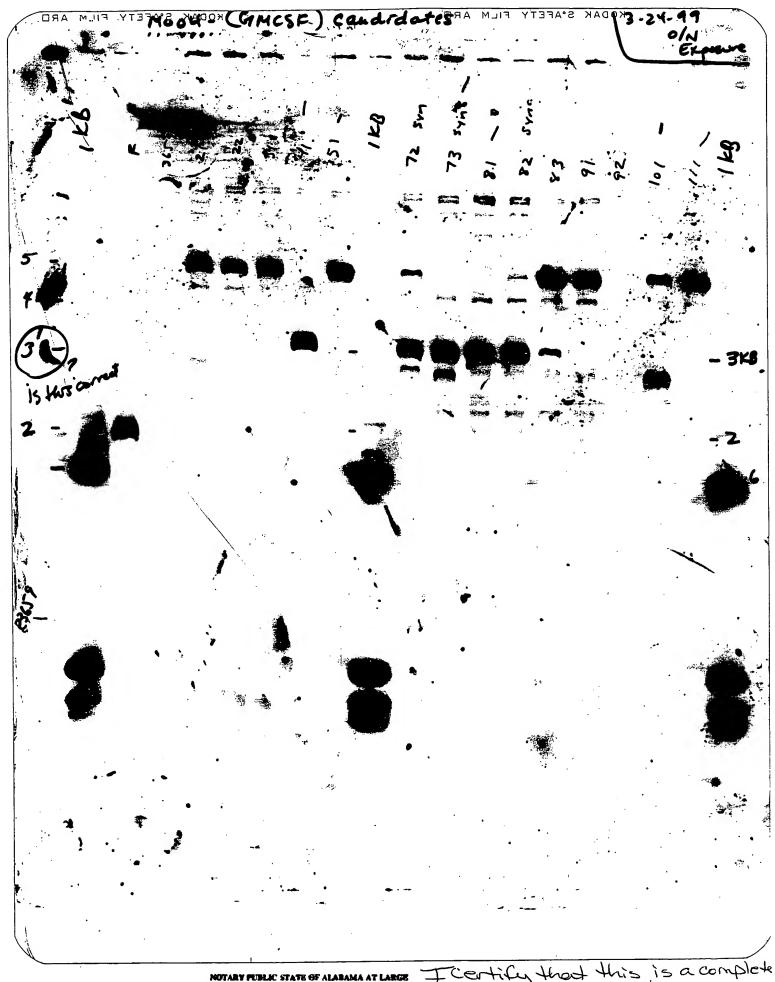
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DESIGN HSV VECTORS WITH EGR promoter - MULTIPLE
CLONING SITE AND POLY A
I CLONE EGR promoter into BamHI - S fragment HSV plasmid
which has been digested with BSTEZ and BSDEI.
A PER EGR fragment Using Primers 5-EGRP and 3'EGRP-F
B Cut WITH BST EZ and BSPEI LIGATE
BSTEZ BSTEZ BST
Hsv-egr
From CMVPAL/BL 5K+GMCSF USING XhoI - Screen By using Bgl II (Should cut out poly A)
BSTEZ STEW Who I And I Dobbel Egra PolyA Dobbel
EGR-pA BUT
II TO GENERATE Egr-GMCSF, CUT OUT GM-CSF-polyA Rom
- CMV-PAL/BLSK(+) USING BAMHI, Rgl II and SUBCLONE INTO Bgl II site in HSV-egr Screen Using Ecori/Bgl II
Into Bgl II site in HSV-egr Screen Using Ecorl / Bgl II BSTE2 (BOTH) BSTE2 (BOTH) EGrp mGM-CSF SU40 pag A BSTEI LINE BSPE Band ECORI ECORI
egr-GMCSE



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Timeline of the Construction of M002, a 34.5-deleted, tk+ HSV that expresses murine IL-12

- 12/30/96 Plasmids pBS-mp35 and pBS-mp40 received from Ueli Gubler, Ph.D., Hoffman-LaRoche
- 1/97 Construction of murine IL-12 bicistronic cassette into pBluescript (pBS-mIL-12) is initiated (page copy attached)
- 4/2/97 pBS-mIL-12 construction confirmed by restriction digest analysis
- 8/27/97 Construction of Egr-IL12 shuttle plasmid confirmed by restriction digest analysis
- 8/28/97 Co-transfection of R3659 with Egr-IL12 to construct 34.5-deleted, tk- HSV that expresses murine IL-12 (M001) is initiated
- 1/5/98 M001 confirmed by Southern blot analysis
- 4/1/98 IL-12 production by M001-infected Vero cells is confirmed
- 8/21/98 Construction of M002 completed after results of Southern blot hybridization show that tk gene has been successfully repaired to wildtype genotype (copy of dated gel attached)

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1/2/97 Added 10 pl TE buffer to each tube of M35, M40, H35, H40

1/2/97 Transformed with of each DNA into 40 uh DH5 L E. coli cells. Plated 100 uh of cells on 1x plate by smearing. Incubated in 37°C, then put in 4°C.

1/4/97 Picked 3 colonies / plate and put each colony in 2 ml 18/Ap. Warmed on shaker @ 3700 ON,

19/17 Obtained DNA from bacterial cells (1 mL cells pelleted @ 8,000 rpm for 2 mins.) using QIAgen QIAgrep Spin Protocol. Eluted DNA w/ 100 pl milliog H20.

1/8/97 Digested preps. of DNA:

M35 max M40 digest H35 digest H40 digest

1.5 pl Eco KI enzyme 3 pl Eco KI enzyme 3 pl Hind III enzyme 3 pl Eco KI enzyme

1.5 pl Hind III enzyme 3.5 pl React 2 buffer 3.5 pl React 2 buffer 3.5 pl React 2 buffer 3.5 pl Milli-QHO

28.5 pl Milli-QHO

28.5 pl Milli-QHO

35 pl Total 35 pl Total 35 pl Total

Mixed mixes by vortexing and quick centrifuging,

Added 10 pl mix + 10 pl each DNA.

Inculated @ 3700 ~ 2½ hrs, Stored @400 ON.

I certify that this is a Complete copy of the

original.

3/4/97 Transformed correct DNA from minipreps of M35, M40, H35,

and H40 into E. coli cells. Used: #1 M35 DNA (from 1/7/97)

#1 M40 DNA (from 1/7/97)

#2 H35 DNA (from 1/7/97)

#6 H40 DNA (from 1/13/97)

Transformed I µL each DNA into 75 µL DH5 & Exoli cells. Plated 10 µL (in 90 µL milli-Q H20) onto 1X plates (LBW/Ap50), Incubated at 37°C ON.

3/4/17 Also transformed INL PCITE 4a (+) DNA into 75 ML DHE E, coli cells. Plated 10 pl (in 90 pl milli-Q H20) onto IX plate
(LB w/Ap50) Incubated at 37°C ON.

3/16/97 Performed digests of IL-12 miniprep DNAS:

M35 digest: 3 ML #1 DNA (1/7/97). INL NO I INL ECORI 3 µL React 3 buffer (10x) 28 ML milli-Q H20 30 ML Total

H35 digest! QUL H35#2 DNA (1/1/911) LUL XhOI. Lul React 2 butter (10x) 15 ML milli-Q H20 20 ML Total

M40 digest; H35 digest! 2 ML #2 DNA (41/97) 3 ML #1 DNA(1/1/97) IML PStI I ML Hind III 2 ML React 2 buffer JUL Bam HI 15 pl milli-Q 450 1.5 L React 3 buffer(10x) 1.541 React 2 buffer (lox) 20 ML Total 22/11 Milli-Q HOD 30 M Total

H35 digest: 2 UL #2 DNA(1/1/97) INL PSTI JUL XhoI 3/11 React 2 buffer (bx) 30 ML Total

H40 digest: QUL #60NA 3ML React 2 bus INL XbaI INL HINDIE 23 ML milli-Q HOO 30 ML Total. Mixed digests by vortexing and centrifuging. Incubated @ 310°C for ~2 hrs.
Added 2 ul sample buffer/tube and mixed.
Mixed and quick-centrifuge.
Ran on 190 agarose gel.

Results: All cut correctly.

mp35 should have ~645-bp fragment, and it does.

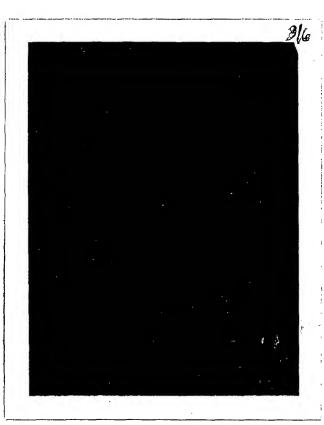
Mp40 should have ~1.1-bp fragment, and it does.

Hp35 cut w/ PstI Should yield ~900-bp fragment, and it does.

Hp35 cut w/ XhoI should yield ~ 1090-bp fragment, and it does.

Hp35 double-digest w/PstI and XhoI should yield ~900-bp fragment, and it does.

Hp40 should have ~1040-bp fragment, and it does.



1= M35 out w/NcoI and EcoKI 2= M40 cut w/ HindII and BamHI 3= H35 cut w/ PstI 4= Hp35 cut w/ XhoI 5= Hp35 cut w/ PstI and XhoI 6= Hp40 cut w/ XbaI and HindIII

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Timeline of the Construction of M012, a γ_1 34.5-deleted, tk+ HSV that expresses bacterial cytosine deaminase

06/19/98	Received pCD2 clone from ATCC.
7/2/98	Construction of shuttle vector Egr-CD is initiated by Brad Guffey
7/17/98	Construction of shuttle vector is completed
7/22/98	Construction of M011, γ_1 34.5-deleted HSV that expresses CD initiated.
8/98	M011 confirmed by Southern blot analysis.
9/18/98	Repair of tk gene in M011 initiated (construction of M012)
1/99	M012 completed (see page 78, WL II notebook)

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Preparation of CD prote template from ATCC pCD2 1-12-99 - on 1.746 forgment of the Ecoli cyticlen deaminare gene well

le recovered from the ATTC plasmid DOD This fragment

was subclosed into pAH 78 by Brad Guffey & eved in construction

of the the C-) varies The 1.766 fragment is released by

Barn HI- Ecol I desertion: pCDZ, I-lug/u 500ng = 3.0 x 10" conu = 8.16 × 10 +18 / conj × 3.0 × 10' comes Bown HI (104/ jul FOOR! (104/ul) 370 overnight 0.5,0 kms2, O.ST. OLMAZ 3 R 17KB Bam HI-ECORI 5 tregging the Membran Bountil degets prenously probab cut 1/2" off bottom of nembrance both larger Prote Fatelling labelling 300ng 1.7kb CD frag

and following reaction components in order on use. 30 il reaction buffer & mix Cent labelling reagent & mix 30 ul X lenker working soln e anx - encubate 30 @ 370 abl immediately to high Prehybrideration & hybridization hyb buffer = Ce3ml add NaCC to 0.5M all blocking agent to 4% - prehipt at least 15' & 55°, actually about 1H - add probe in Col wold hyb solu ged bag à hyb vernight & 55° - north membrane IX in premary wash buffer 255 for 15'la Premary Wash Buffer (12) Vrea SDS 0:5M Nattz PO4 p117 Macl 10 ml 1.0m mg Clz The CD-specific probe should hybridge to a 2.2 Kb Borm HI fragment, containing the For P. & CD gene of the 12 campbalate virtues, only five appear to contain the CD gene: p.CD3tk(+) -3 ---pcp3tk(t) -H -- p cp3t/(+) - Cl ...pcn3 Ex(+) -7 pcD3(K(+)-9 These five clones will be used in subsquent activity assays:

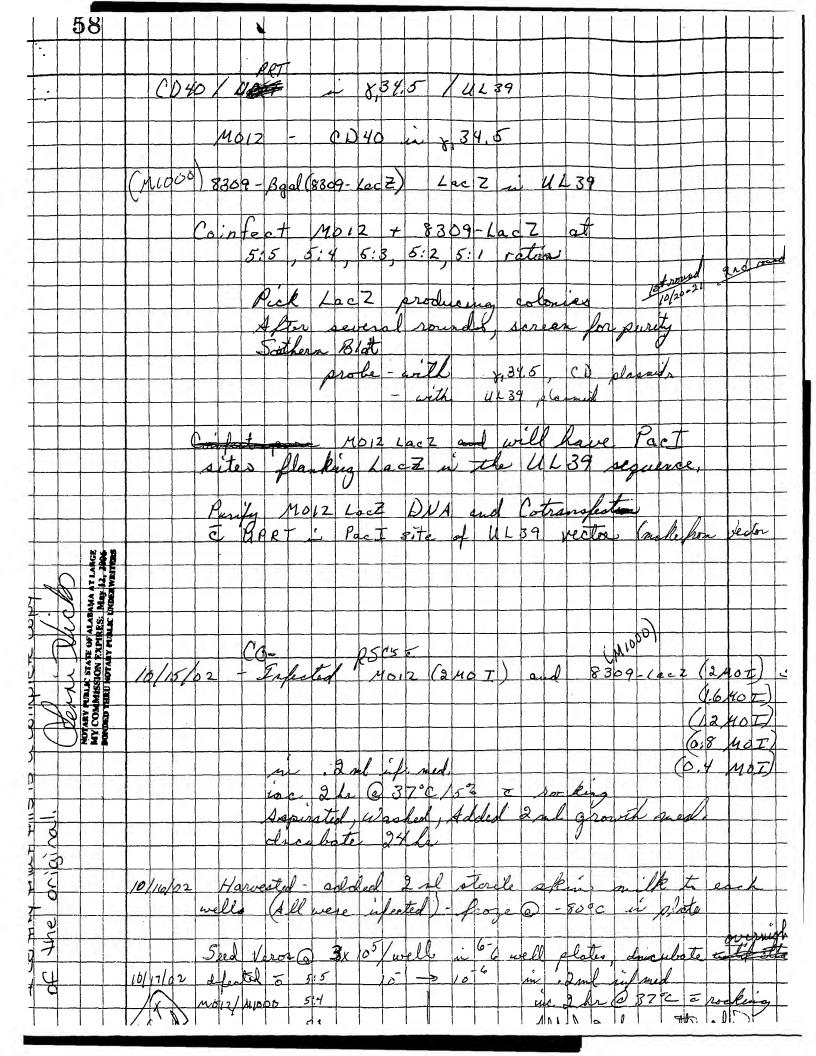
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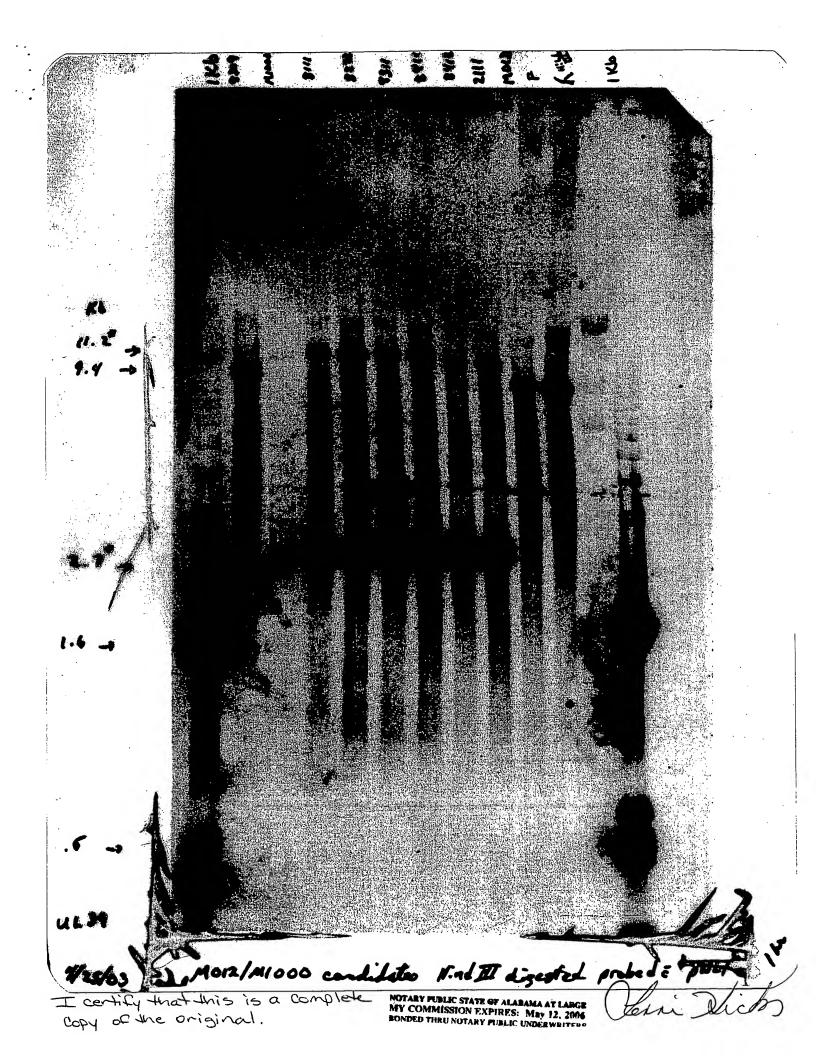
BONDED THRU NOTARY PUBLIC UNDERWRITERS

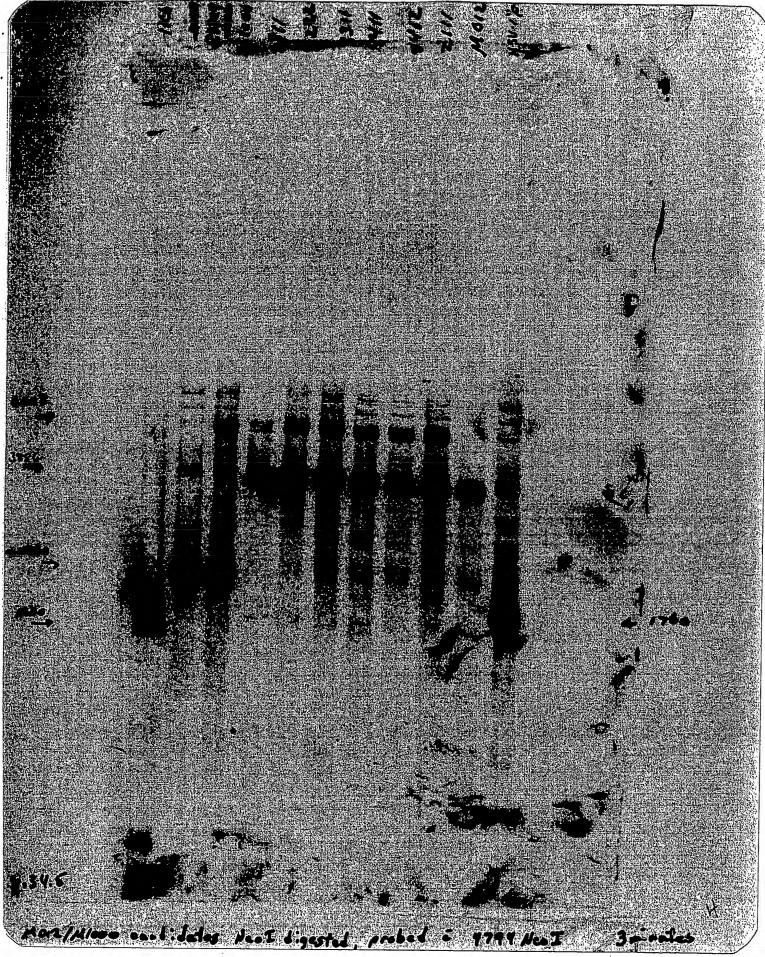
Timeline of the Construction of M1012, a γ_1 34.5-deleted, RR1-deleted, tk+ HSV that expresses bacterial cytosine deaminase

10/15/02	Co-infection of M012 with M1000 initiated
4/25/03	Southern blot confirming construction of M1012
6/07/03	CD Activity in M1012 infected cells confirmed



杏 1003 80% EtOH 0 رای 1/20) TAE 20x25 300m 50 E+Bn Hind III ge (1) 0 (I) (2) 3 3 (8) 0 (10) Ô 6 R8309 8222 831 8411 2111 M012 MIDOD HOTALY PUBLIC STATE OF ALABAMA AT LANCE MAY COMMISSION RAPIRES: May 12, 2004 Hinde H:ndIII digests 18ul 18ul 184 18el 18 ul 18ul gel digest -NeaT 9 3 po 0 (A) B(A) 3 8 (10) 6 (10 (3) Ω 1030 a 18412 LIOW 835 841 **~** IKb New I diges 18 15/15/14 18,0 18 18 18 18 18 18 18 18 34 125V. 2/2 150V 5 topped at 5:30





NOTION THE STATE OF ALABAMA AT LARGE MY COMMISSION EXPIRES: May 12, 2006